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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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In re:

LEHMAN BROTHERS SECURITIES AND ERISA
LITIGATION

This document applies to: 09 MD 2017 (LAK)
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In the Matter of the Application of

RICHARD S. FULD, JR.,

Petitioner,

-against-

BOOTH FOUNDATION, INC.

Respondent.
----- x

09 MD 2017 (LAK)
and
Case No. M-82

faxed
Copies ~~mailed~~ *msm*
Chambers of Judge Kaplan

ORDER


LEWIS A. KAPLAN, *District Judge.*

In the March 31, 2010 oral argument in this matter, counsel for the Booth Foundation, Inc. referred to a "form U-4" signed by Richard S. Fuld, Jr. as a basis for Mr. Fuld's agreement to arbitrate the claims at issue in the underlying proceeding. *See, e.g.,* Tr. at 27. Neither side has submitted this document to the Court.

Should either party wish the Court to consider Mr. Fuld's Form U-4, it should submit, by way of affidavit, a true and correct copy to the Court no later than 1:00 p.m. on Monday, April 5, 2010.

SO ORDERED.

Dated: March 31, 2010



Lewis A. Kaplan
United States District Judge